

## **Annex I to**

Agreement of 1<sup>st</sup> June 2002 between the Ministry of Trade and Industry and “Recognized Organisation”, hereafter referred to as RO, concerning surveys of ships registered in a Norwegian ship register.

### **General authorisation from the Norwegian Maritime Authority (NMA) to RO for ships registered in the Norwegian International Ship Register (NIS)**

## **1 Application**

RO is hereby authorised to carry out survey, verification and certification functions on behalf of NMA, in accordance with the International Conventions adopted by Norway and the Norwegian Acts and regulations mentioned below, with respect to:

- Passenger ships<sup>1</sup> classed by RO and registered in NIS, unless otherwise stated,
- Cargo ships with gross tonnage of 500 and above, classed by RO and registered in NIS, unless otherwise stated,
- Mobile offshore units<sup>2</sup> registered in NIS.

## **2 Definitions**

*Gross Tonnage*: means the gross tonnage calculated in accordance with the tonnage measurement regulations contained in Annex I to the International Convention on Tonnage Measurement of Ships, 1969, or any successor Convention; for ships covered by the tonnage measurement interim scheme adopted by the International Maritime Organization, the gross tonnage is that which is included in the REMARKS column of the International Tonnage Certificate (1969).

## **3 Scope of authorisation**

### **3.1 With respect to: SOLAS Convention 1974, SOLAS Protocol 1978, SOLAS Protocol 1988, and subsequent amendments**

The control functions shall be in accordance with the Convention and with Guidelines developed by IMO and include approval of the relevant plans so specified by the Convention.

#### **Scope:**

- Approval of drawings and documentation.
  - Approval of intact and damage stability documentation.
  - Approval of Cargo Securing Manuals.
  - Approval of ship security plans.<sup>3</sup>
  - Initial survey or verification and issuance of full term certificates or, when relevant, interim (short term) certificates.
  - Issuance of exemption certificates. At initial survey after consultation with NMA only.
- Annual, intermediate, periodical and additional surveys or verifications and endorsements or renewal surveys and issuance of certificates as appropriate.
- Enhanced surveys for bulk carriers and tankers.

#### **When relevant related to:**

- Passenger Ship Safety Certificate.
- Cargo Ship Safety Construction Certificate.
- Cargo Ship Safety Equipment Certificate.
- Cargo Ship Safety Radio Certificate.<sup>4</sup>
- Cargo Ship Safety Certificate (if relevant).

<sup>1</sup> For passenger high speed crafts limited to, SOLAS Ch. XI-2 and the ISPS-code as stated in paragraph 3.1, Regulation (EU) 1257/2013 incorporated into the Norwegian legislation as stated in paragraph 3.3.6, MARPOL 73/78 as stated in paragraph 3.8, the International Convention on Control of Harmful Antifouling Systems on Ships as stated in paragraph 3.9 and the International Convention for the Control and Management of Ships Ballast Water and Sediments, 2004, as stated in paragraph 3.10.

<sup>2</sup> Limited to SOLAS Ch. XI-2, the ISPS-Code as stated in paragraph 3.1, Regulation (EU) 1257/2013 incorporated into the Norwegian legislation as stated in paragraph 3.3.6 and the EIAPP-certificate as stated in paragraph 3.8.6.

<sup>3</sup> Irrespective of ships being classed by RO including ships where the NMA has issued certificates cf. SOLAS Ch. II-1 Reg. 3-1.

<sup>4</sup> For ships with gross tonnage of 300 and above. When radio survey and certification services are requested by the owner, the authorisation may also apply to ships not in class located in ports outside Norway.

- Safety Management Certificate (SMC)<sup>3</sup> as per SOLAS Ch. IX applicable to cargo ships with gross tonnage (1969) of 500 and above, irrespective of interim scheme tonnage mentioned in the REMARKS column of the International Tonnage Certificate (1969), and passenger ships.
- Document of Compliance (DOC)<sup>5</sup> as per SOLAS Ch. IX.
- High-Speed (Cargo) Craft Safety Certificate.<sup>6</sup>
- International Ship Security Certificate<sup>3</sup> as per SOLAS Ch. XI-2 applicable to cargo ships with gross tonnage (1969) of 500 and above, irrespective of interim scheme tonnage mentioned in the REMARKS column of the International Tonnage Certificate (1969), and passenger ships.
- Certificate of Contingency Fitness for Stand-by Vessel, irrespective of gross tonnage.
- Certificate of Fitness for the Carriage of Liquefied Gases in Bulk for Ships constructed before 31 October 1976, irrespective of gross tonnage.
- Certificate of Fitness for the Carriage of Liquefied Gases in Bulk for Ships constructed on or after 31 October 1976, but before 1 July 1986, irrespective of gross tonnage.
- International Certificate of Fitness for the Carriage of Liquefied Gases in Bulk for Ships constructed on or after 1 July 1986, irrespective of gross tonnage. (IGC-Code).
- Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk for Ships constructed before 1 July 1986, irrespective of gross tonnage. (BCH-Code).
- International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk – ICCP-07 for ships constructed on or after 1 July 1986, irrespective of gross tonnage. (IBC-Code).
- Document of authorization for the carriage of grain.
- Document of compliance with the special requirements for ships carrying dangerous goods.
- Special Purpose Ship Safety Certificate according to the SPS Code (Res.A.534(13)) (on a voluntary basis).
- Special Purpose Ship Safety Certificate according to the SPS Code 2008 (Res.MSC.266(84)) (on a voluntary basis) for ships certificated on or after 13 May 2008 (on a voluntary basis).
- Polar Ship Certificate

### 3.1.1 Supervision of Manning

- Initial and annual manning survey.
- Approval of personnel alarm (dead man's alarm), internal communications and automatic pilot equipment including off course alarm when relevant.

### 3.2 Regulations for Preventing Collisions at Sea: COLREG Annexes I, III and IV

- Approval of drawings and documentation.
- Initial survey.

### 3.3 With respect to: Applicable Norwegian requirements as prescribed in:

- Excerpts from the Norwegian Passenger and Cargo Ship Legislation.
- The NMA's specific Instructions to Class.

#### 3.3.1 Permit for trial trips, delivery or presentation voyages

Inspections in accordance with excerpts from the Norwegian Passenger and Cargo Ship Legislation, ref. Part 3.1.1 Regulations of 22 December 2014 No. 1893 on Supervision and Certificates for Norwegian Ships and Mobile Offshore Units Chapter 5 section 41 first subsection letter c.

- Issuance of permit for trial trips, delivery or presentation voyages.

#### 3.3.2 Regulations 30 May 2012 No. 488 on environmental safety for ships and mobile offshore units section 7 and Regulations 1. July 2014 No. 944 on dangerous goods on Norwegian ships, section 6

##### 3.3.2.1 Certificate of Fitness for the Transport and Handling of Limited Amounts of Hazardous and Noxious Liquid Substances in Bulk on Offshore Support Vessels (Res.A.673(16)).

**Applicable to:** Offshore Support Vessels, irrespective of gross tonnage, the keel of which are laid before 1 January 2021.

**Scope:**

- Approval of drawings and documentation.
- Annual survey.
- Intermediate survey.

<sup>5</sup> Irrespective of Companies operating ships classed by RO, including ships where the NMA has issued certificates cf. SOLAS Ch. II-1 Reg. 3-1.

<sup>6</sup> "Permit to Operate" for High Speed Cargo Crafts shall be issued by the NMA.

- Periodical and additional surveys or verifications.  
Renewal survey.  
**Related to:**
- Issuance and endorsement of Certificate of Fitness for the Transport and Handling of Limited Amounts of Hazardous and Noxious Liquid Substances in Bulk on Offshore Support Vessels.

### 3.3.2.2 **Certificate of Fitness for the transport and handling of hazardous and noxious liquid substances in bulk on offshore support vessels (OSV Chemical Code)**

**Applicable to:** Offshore Support Vessels, irrespective of gross tonnage, the keel of which are laid on or 1 January 2021.

**Scope:**

- Approval of drawings and documentation.
- Annual survey.
- Intermediate survey.
- Additional surveys or verifications.
  - Renewal survey.

**Related to:**

- Issuance and endorsement of Certificate of Fitness for the Transport and Handling of Limited Amounts of Hazardous and Noxious Liquid Substances in Bulk on Offshore Support Vessels.

### 3.3.3 **Survey of Towing and Anchor handling Equipment**

In accordance with excerpts from the Norwegian Passenger and Cargo Ship Legislation, ref.

Part 3.1.1 Regulations of 22 December 2014 No. 1893 on Supervision and Certificates for Norwegian Ships and Mobile Offshore Units, Chapter 3 section 34, cf. Part 3.1.2 Regulations of 1 July 2014 No. 1072 on the Construction of Ships, Chapter 2 section 13.

**Applicable to:** Cargo ships of 24 m length (L) and upwards.

- Approval of drawings and documentation.
- Initial survey.
- Verification of compliance with requirements in Regulations of 1 July 2014 No. 1072 on the Construction of Ships, Chapter 2 section 13.
- Periodical surveys (every 5th year).

### 3.3.4 **Radio survey and certification of non-convention cargo ships**

In accordance with excerpts from the Norwegian Passenger and Cargo Ship Legislation, ref.

Part 3.1.1 Regulations of 22 December 2014 No. 1893 on supervision and certificates for Norwegian ships and mobile offshore units Chapter 3 sections 8 and 9, cf. Part 3.3 Regulations of 1 July 2014 No. 955 on Radiocommunication Equipment for Norwegian Ships and Mobile Offshore Units

**Applicable to:** Cargo ships with gross tonnage less than 300 upon request by the owner or his deputy. When radio survey and certification services are requested by the owner, the authorisation may also apply to ships not in class located in ports outside Norway.

**Scope:**

- Approval of drawings.
- Initial survey.
- Renewal survey.
- Periodical survey.
- Issuance of a full-term non-convention cargo ship safety radio certificate.
- Issuance of a short term non-convention cargo ship safety radio certificate.
- Endorsement of existing non-convention cargo ship safety radio certificate.
- Issuance of exemption certificate after consultation with the NMA only.

### 3.3.5 **Regulation of 30 August 2016 No. 1042 (Regulations 1042) relating to Ships Equipment (Norway's transposition of the European Parliament and of the Council Directive 2014/90/EC – marine equipment directive - MED)**

**Scope:**

- Verify that installed marine equipment listed in implementing acts, ref. Directive 2014/90/EC article 35.2, is marked as prescribed in Regulations 1042 section 3 (wheel marked).
- Issuance of certificate to marine equipment considered equivalent pursuant to Regulations 1042 section 15 (MED Article 7).

### 3.3.6 **Regulation of 12 December 2018 No. 1813 (Regulation 2018/1813) relating to Recycling of Ships and Mobile Offshore Units**

(Norway's implementation of Regulation (EU) No 1257/2013 of the European Parliament and of the Council of 20 November 2013 on ship recycling and amending Regulation (EC) No 1013/2006 and Directive 2009/16/EC)

**Applicable to:** All ships of gross tonnage 500 and above, irrespective of interim scheme tonnage mentioned in the REMARKS column of the International Tonnage Certificate (1969), engaged on international voyages.

**Scope:**

- Initial survey, verification of IHM and issuance of certificate.
- Renewal survey and endorsement of certificate.
- Additional survey and endorsement of certificate.
- Final survey and issuance of certificate.

**Related to:**

- Ship Recycling Inventory Certificate.
- Ready for Recycling Certificate.

### 3.4 **With respect to: International Convention on Tonnage Measurement of Ships, 1969**

**Scope:**

- Measurement and approval of calculations.

**Related to:**

- Endorsement and issuance of International Tonnage Certificate, 1969.

### 3.5 **With respect to: ILO Maritime Labour Convention, 2006 (MLC, 2006)**

**Applicable to:** Ships that fall under MLC, 2006, cf. Regulation 5.1.3 paragraphs 1 and 2, with SMC issued by the RO.

**Scope:**

- Review and certification of DMLC part II and issuance of Declaration of Maritime Labour Compliance.
- Verifying or attesting that the accommodation and recreational facilities have been constructed in accordance with the approved drawings.
- Initial inspection.
- Verification for interim maritime labour certificate.
- Intermediate inspection.
- Renewal inspection.
- Additional inspection.
- Issuance of full term or where relevant interim certificate.
- Endorsement of certificate.

**Related to:**

- Declaration of Maritime Labour Compliance.<sup>8</sup>
- Maritime Labour Certificate.

### 3.6 **With respect to: IMO: Code of Safety for Diving Systems 1995 cf. Regulation of 4 October 1984 No. 940 concerning control of diving systems etc. on Norwegian ships at sea<sup>9</sup>**

- Approval of drawings and documentation.
- Initial, annual and renewal surveys.

**Related to:**

- Issuance or endorsement of IMO Diving System Safety Certificate.

<sup>7</sup> Paragraph 3.3.6 modified on 10 December 2018 (ref. letter 7 December 2018 ref. 2018/83062-8)

<sup>8</sup> The Declaration of Maritime Labour Compliance (DMLC) consists of two parts. Part I is drawn up by the NMA and Part II is drawn up by the Ship owner, both which are supplied to the RO. RO shall review Part II against Part I and certify DMLC Part II following successful inspection of the ship.

<sup>9</sup> For cargo ships only, irrespective of gross tonnage. Not limited to diving systems on ships being classed by RO. Related also to diving systems on ships where the NMA has issued certificates cf. SOLAS Ch. II-1 Reg. 3-1.

**3.7 With respect to: International Convention on Load Lines, 1966, Annex I, Reg. 3(1)**

**Applicable to:** Passenger ships and cargo ships, both of 24 m length (L) and upwards

**Scope:**

- Approval of drawings and documentation including intact and damage stability documentation.
- Assignment of freeboard.
- Initial survey and issuance of full term certificates or, when relevant, short term certificates.
- Issuance of exemption certificates. At initial survey only after consultation with NMA.
- Annual, renewal and additional surveys and endorsements or renewal of certificates.

**Related to:**

- International Load Line Certificate.

**3.8 With respect to: MARPOL 73/78, as amended**

The control functions shall be in accordance with the Convention and Guidelines developed by IMO and include approval of the relevant plans and approval of electronic record books, so specified by the Convention.

**3.8.1 Annex I** for every ship with gross tonnage of 400 and above, and oil tankers with gross tonnage of 150 and above.

**3.8.2 Annex II** for all tankers carrying noxious liquid substances in bulk irrespective of gross tonnage.

**3.8.3 Annex IV (sewage)** for every ship of 400 gross tonnage and above and every ship certified to carry 15 or more persons.

**3.8.4 Annex V (garbage)** for every ship of 400 gross tonnage and above and every ship certified to carry 15 or more persons.

**3.8.5 Annex VI (emissions to air) Chapter 1, and chapter 2** for every ship of 400 gross tonnage and above.

**Scope 3.8.1 to 3.8.5:**

- Approval of drawings and documentation.
- Initial survey and issuance of full term certificates or when relevant short term certificates.
- Annual, intermediate and renewal surveys including endorsement or renewal of certificates.
- Issuance of exemptions after consultations with the NMA only.

**Related to:**

- International Oil Pollution Prevention Certificate (IOPP-Certificate).
- International Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk (NLS-Certificate).
- International Sewage Pollution Prevention Certificate (ISPP).
- International Air Pollution Prevention Certificate (IAPP).
- International Energy Efficiency Certificate (IEEC).
- Exemption permit (MARPOL Reg. VI/3.2).

**3.8.6 Annex VI Chapter 3** for every marine diesel engine with output of more than 130kW installed on a ship or an MOU.

**Scope:**

- MARPOL Regulation VI/13.8.

**Related to:**

- Engine International Air Pollution Prevention Certificate (EIAPP).<sup>10</sup>

**3.8.7 Annex VI Chapter 4** for all ship of 400 gross tonnage and above.

**Scope:**

- MARPOL Regulation VI/20 and 21.

**Related to:**

- Verification of the attained Energy Efficiency Design Index (EEDI).<sup>11</sup>

<sup>10</sup> Irrespective whether the engine is installed on a ship or an MOU not classed by the RO issuing the EIAPP certificate.

<sup>11</sup> Applies also to ships not classed by RO, subject to agreement by the RO.

**3.8.8 Annex VI Chapter 4** for each ship of 5000 gross tonnage and above, on or after 1 January 2020.<sup>12</sup>**Scope:**

- Verification of the Fuel Oil Consumption data reported.
- Transfer of verified data to the IMO Ship Fuel Oil Consumption Database.

**Related to:**

- MARPOL Reg. VI/5.4.5 Issuance of Confirmation of Compliance – Ship Fuel Consumption Data Collection Plan.
- MARPOL Reg. VI/6 Issuance of Statement of Compliance – Fuel Oil Consumption Reporting<sup>13</sup>.

**3.9 With respect to: International Convention on the Control of Harmful Anti-fouling Systems on Ships**

**Applicable to:** Passenger ships and cargo ships, with gross tonnage of 400 and above.

**Scope:**

- Surveys in accordance with the “International Convention on the Control of Harmful Anti-Fouling Systems on Ships, 2001” (Res.MEPC.195(61)).

**Related to:**

- Issuance of the International Anti-Fouling System Certificate (IAFC) in accordance with Norwegian Regulation of 30 May 2012 No. 488 concerning environmental safety on ship and mobile offshore units § 17 implementing EU Regulation 782/2003/EC as amended.

**3.10 With respect to: International Convention for the Control and Management of Ships Ballast Water and Sediments, 2004 (BWMC)**

The control functions shall be in accordance with the Convention, Codes and Guidelines developed by IMO and include approval of the relevant plans so specified by the Convention.

**3.10.1 BWMC Section D**

**Applicable to:** Ballast Water Management System (BWMS), ref. the BWMC Regulation D-2.

**Scope:**

- Approval of BWMS as specified in Regulation D3 or D4 of the BWMC.

**Related to:**

- Issuance of BWMS Type Approval Certificate.

**3.10.2 BWMC Section E**

**Applicable to:** Passenger and cargo ships with gross tonnage of 400 and above.

**Scope:**

- Initial Survey.
- Intermediate Survey.
- Annual Survey.
- Renewal Survey.
- Additional Survey.

**Related to:**

- Endorsement and issuance of International BWM Certificate.

**4 With respect to: Unscheduled inspections**

RO shall, as part of the authorisation according to this Annex of the Agreement, carry out unscheduled inspections, as considered appropriate, on board ships to which this authorisation applies. With regard to selection of ships, extent of inspection, reporting, fees etc., RO shall carry out unscheduled inspections as per separate instructions detailed in Instructions to Class issued by the NMA.

RO shall carry out unscheduled inspections, additional surveys and verifications whenever instructed by the NMA.

<sup>12</sup> Irrespective of ship being classed by RO, including ships where the NMA has issued certificates.

<sup>13</sup> The RO which verifies, reports and issues the Fuel Oil Consumption SoC may not necessarily be the same RO which approved the SEEMP Part II and issued the CoC.

## 5 Reporting

RO shall comply with reporting requirements detailed in relevant Instructions to Class. Upon request by the NMA, RO shall forward applicable documentation for any ship covered by this Annex of the Agreement, to the NMA.

In addition, the following reporting requirements shall be adhered to:

- Freeboards assigned with corresponding draught for ships with length (L) above 24 m, but below 500 gross tonnage shall be reported to the NMA.
- Suspension or withdrawal of class for ships registered in NIS shall be reported to the NMA.
- Continuous Synopsis Record (CSR): Any change in data in CSR observed by RO shall be forwarded without delay to the Norwegian International Ship Register (NIS) for verification.<sup>14</sup>
- Non-conformities issued in conjunction with ISM audits to be reported to the NMA.

## 6 Termination

NMA may amend or revoke this authorisation at three months written notice to RO. Amendments shall be made through a new formal authorisation.

## 7 Other conditions

The provisions of the Agreement of 1 June 2002 between the Ministry of Trade, Industry and Fisheries and RO concerning surveys of ships registered in a Norwegian ship register shall apply to the work carried out by RO in accordance with this authorisation.

## 8 Entry into force

Provided the endorsement form of 24 February 2021 has been signed by the NMA and the RO, this authorisation entered into force 1 January 2021.

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<sup>14</sup> Postal address: P.O. Box 73 - Nygårdstangen, N-5838 Bergen, Norway.

Internet: <http://www.sjofartsdir.no/en/the-ship-registers/new-registration-in-the-nis-nor-and-the-shipbuilding-register/new-registration-in-the-nis/>